

# United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

DATE MAILED: 07/30/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/620,358	07/17/2003	Ken'ichi Okuno	TD-US000805A 8899	
22919	7590 07/30/2004	EXAMINER		
	LOBAL IP COUNSEL	DESTA, ELIAS		
1233 20TH STREET, NW, SUITE 700 WASHINGTON, DC 20036-2680			ART UNIT	PAPER NUMBER
WASIIINOTO	DN, DC 20050-2000		2857	

Please find below and/or attached an Office communication concerning this application or proceeding.

					ſ		
		Applicati	on No.	Applicant(s)			
		10/620,3	58	OKUNO ET AL.			
	Office Action Summary	Examine	r	Art Unit			
		Elias De	sta	2857			
Period fo	- The MAILING DATE of this communi r Reply	cation appears on th	e cover sheet with the	correspondence addres	S		
THE N - Exten after: - If the - If NO - Failur Any re	DRTENED STATUTORY PERIOD FOMALING DATE OF THIS COMMUNIC sions of time may be available under the provisions of SIX (6) MONTHS from the mailing date of this communication for reply specified above is less than thirty (30 period for reply is specified above, the maximum state to reply within the set or extended period for reply eply received by the Office later than three months and patent term adjustment. See 37 CFR 1.704(b).	CATION. of 37 CFR 1.136(a). In no evaluation. of 37 days, a reply within the statutory period will apply and vivill, by statute, cause the ap	vent, however, may a reply be ting tutory minimum of thirty (30) day vill expire SIX (6) MONTHS from colication to become ABANDONE	mely filed ys will be considered timely. n the mailing date of this commu	nication.		
Status							
1) 又	Responsive to communication(s) file	d on <i>17 July 2003</i> .					
,	•	b)⊠ This action is r	non-final.				
,—	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Dispositi	on of Claims						
5)□ 6)⊠ 7)⊠	Claim(s) <u>16-36</u> is/are pending in the at 4a) Of the above claim(s) is/ar Claim(s) is/are allowed. Claim(s) <u>16 and 21-36</u> is/are rejected Claim(s) <u>17-20</u> is/are objected to. Claim(s) are subject to restrict	e withdrawn from co					
Applicati	on Papers						
10) 🖾 -	The specification is objected to by the The drawing(s) filed on 17 July 2003 Applicant may not request that any object Replacement drawing sheet(s) including The oath or declaration is objected to	is/are: a)⊠ accepte tion to the drawing(s) the correction is requi	be held in abeyance. Se red if the drawing(s) is ob	e 37 CFR 1.85(a). ojected to. See 37 CFR 1.			
Priority u	nder 35 U.S.C. § 119		,				
12)[/ a)[	Acknowledgment is made of a claim f  All b) Some * c) None of:  1. Certified copies of the priority of  2. Certified copies of the priority of  3. Copies of the certified copies of application from the Internation ee the attached detailed Office action	documents have bee documents have bee of the priority docum nal Bureau (PCT Ru	en received. en received in Applicat ents have been receiv le 17.2(a)).	ion No ed in this National Staç	је		
	e of References Cited (PTO-892)	TO 040)	4) Interview Summary Paper No(s)/Mail D				
3) Inform	e of Draftsperson's Patent Drawing Review (P nation Disclosure Statement(s) (PTO-1449 or r No(s)/Mail Date			pate Patent Application (PTO-152	)		

Art Unit: 2857

#### **Detailed Action**

#### Drawing

1. The examiner accepts the amended drawing (Figure 2) filed on July 17, 2003.

# Specification

- 2. The specification is objected to because of the following minor informalities:
  - The lengthy specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification; for instance, page 1, lines 5 to 6 better reads 'The present invention relates to quality control and technology support for analyzers'; line 11 insert "are" after "methods" and line 16, add "In order" before "to" etc....

### **Double Patenting**

3. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir.

Art Unit: 2857

1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

4. <u>Claims 16, 21 and 30-36</u> are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1, 9, 12 and 13 of <u>U.S. Patent No. 6,629,060</u>.

Although the conflicting claims are not identical, they are not patentably distinct from each other because <u>claim 16</u> of the instant application includes a control device that is used to control a plurality of analyzers is also described in <u>claim 1</u> of <u>U.S. Patent 6,629,060</u> as a support method for analyzers adapted to be employed in a control device connected to a plurality of analyzers. Further, both <u>claim 16</u> of the <u>instant case</u> and <u>claim 1</u> of <u>U.S. Patent 6,629,060</u> provide a means for collecting data from each analyzer through a network and have a means for storing the operational information.

However, the difference is the fact that <u>claim 16</u> of the instant application provides a screen-controlling means that controls the output screen for displaying the analyzer designation from the analyzers, whereas <u>claim 1</u> of

Art Unit: 2857

<u>U.S. Patent 6,629,060</u> provides a means for outputting the collected operational information in response to an instruction by an operator of the control device.

The output means in claim 1 of <u>U.S. Patent 6,629,060</u> would have been found by an ordinary skill in the art as an obvious variation of the output means of <u>claim 16</u> of the instant case because <u>claim 1</u> of <u>U.S. Patent 6,629,060</u> teaches a display means, a well known means of outputting collected information; further the output means in <u>claim 1</u> of <u>U.S. Patent 6,629,060</u> is controlled by the instruction from an operator of the control device which is a screen controlling means that would have provided a means to display an analyzer designation screen for designating the specific analyzer from the analyzers because a control method for the networked analyzers helps the user to analyze the analyzers.

In <u>claim 21</u> of the instant application the control device comprises communication control means for judging whether an authentication information received from the analyzer corresponds to a user information, and determining whether the collecting means collects the log information from the analyzer based on judging result. Claim 9 in <u>U.S. Patent 6,629,060</u> shows that the support method for analyzers is implemented in a network and has a remote logging apparatus, which provides a window view of the partial view of the controlling apparatus; hence, the network with a remote logging capability

Art Unit: 2857

would provide some form of an authentication means because the transmitted data with respect to respective analyzers is related to a patient data which would often requires confidentiality or authorization.

Claim 30 of the instant application is about a quality control method that comprises transmitting quality control data, receiving tally results and displaying the received tally. Claim 12 of <u>U.S. Patent 6,629,060</u> includes collecting a quality control sample data from each analyzers in real time as the analyzer generates the quality control sample data from the quality control sample, and notifying the tally results to each of the analyzers, this is equivalent to transmitting and receiving tally result from the analyzers and displaying the received tally result on the display because notification in claim 12 of <u>U.S. Patent 6,629,060</u> is described in the context of displaying the received result. <u>Claims 31 and 32</u> of the instant application are addressed in claim 13 of <u>U.S. Patent 6,629,060</u>.

<u>Claims 35 and 36</u> of the instant application discuss collecting, tallying and displaying the tally result to the analyzer through the network, which are also noted in claim 12 of <u>U.S. Patent 6,629,060</u> where displaying is equivalent to notifying to the analyzers.

5. <u>Claims 24-26, 28, 33 and 34</u> are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over

Art Unit: 2857

claims 1, 9, 12 and 13 of U.S. Patent No. 6,629,060 in view of Klimasauskas (U.S. Patent 6,110,214).

The limitation in <u>claim 24</u> of the instant application is met by claim 12 of <u>U.S. Patent 6,629,060</u>; however, claim 24 includes a storage means for storing the collected quality control sample data. As noted in claim 12 of <u>U.S. Patent 6,629,060</u>, the system includes computer-implemented steps of collecting quality control sample data.

<u>Kilmasauska</u> teaches an analyzer for modeling and optimizing maintenance operations (see <u>Kilmasauska</u>, Fig. 3 and Abstract). The analyzer includes a storage means for storing collected data (see Fig. 3, devices 94, 96 and column 5, line 63 to column 6, line 4).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the means for collecting control sample data as taught in claim 12 of U.S. Patent 6,629,060 and incorporate a storage means for storing the collected quality control sample data as shown in *Kilmasauska*, Fig. 3 in order to store sample data values for further analysis or operation because the storage means provides the user with a means to store historical data and further use the historical value as an input to run selective analysis on individual analyzers (see *Kilmasauska*, column 6, lines 10-20).

Art Unit: 2857

<u>Claim 25</u> of the instant application includes a means to provide the tally result in response to request from the analyzer. This means is equivalent to the means in claim 12 of <u>U.S. Patent 6,629,060</u> for collecting quality control sample that is collected from the analyzer as the analyzer determines that the sample is a quality control sample and generates the quality control sample data.

Claims 26, 33 and 34 of the instant application provides a means to tally the result in response to request from WWW browser installed in the analyzer, and claim 9 of U.S. Patent 6,629,060 teaches a means for remotely logging on to the analyzer such that a window displayed at the analyzer is at least partially displayed at the control device. In order provide appropriate interface for remote logging, the system in claim 9 of U.S. Patent 6,629,060 has to have some type of browser application in order to facilitate the interaction between the remote and the control sites because the use of WWW browser is well known in the art at the time the application was filed (see *Vetter et al.*, page 49).

<u>Claim 28</u> of the instant application includes a collection means that collects a reference data from the analyzer and providing a means, which provides the tally result including the reference data. Claim 12 of <u>U.S. Patent</u> 6,629,060 provides a method of tallying the quality control sample data, which is equivalent to reference data for each quality control sample or tallied result.

Art Unit: 2857

6. <u>Claims 27 and 29</u> are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over <u>claims 1, 9, 12</u> and 13 of <u>U.S. Patent No. 6,629,060</u> in view of <u>Klimasauskas</u> (U.S. Patent 6,110,214) and <u>Colef et al.</u> (IEEE Article, 'New In-Situ Calibration of Diode Detectors Used in Six-Port Network Analyzers).

In reference to Claims 27 and 29: the method of tallying result includes a mean or average value of the collected quality control sample data within predetermined time frame where the tally result is provided to the analyzer in a form of static graph based on the tally result on the analyzer. Claim 25 of U.S. Patent 6,629,060 teaches a retrieval means for retrieving a result of tally that the control device performed based on the quality control sample data transmitted by the transmission means, but does not provide a method of tallying a mean value of collected quality data where the tally result is provided to the analyzer in the form of static graph.

<u>Colef et al.</u>, teaches calibration of diode detectors used in six-port network analyzers (see <u>Colef et al.</u>, page 201, Introduction). The method includes measuring the incident power on the diode detector over the range of operating points. Further a curve of an exponential equation is chosen to fit the measurement data (see <u>Colef et al.</u>, page 201, conventional method) and provides magnitude versus frequency for automatic network analyzer and six-port reflectometer at a specific time (see <u>Colef et al.</u>, page 203).

Art Unit: 2857

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the analyzer with a retrieval means as noted in claim 25 of <u>U.S. Patent 6,629,060</u> and incorporate the mean (or average) value collected sample data with in a predetermined time frame, as noted in <u>Colef et al.</u> page 201 and 203, in order to obtain mean tally results to the analyzer and display static graph based on the tally result because the curve-fitted mean tally values would enable the user to achieve minimum mean square error (see <u>Colef et al.</u>, page 201, Conventional Method) and a better static measurement of amplitude versus frequency graph for a given time as noted in <u>Colef et al.</u> page 203.

# Claim rejection – 35 U.S.C. 101

7. A rejection based on double patenting of the "same invention" type finds its support in the language of 35 U.S.C. 101 which states, "Whoever invents or discovers any new and useful process ... may obtain a patent therefor..." (Emphasis added). Thus, the term "same invention," in this context, means an invention drawn to identical subject matter. See *Miller v. Eagle Mfg. Co.*, 151 U.S. 186 (1894); *In re Ockert*, 245 F.2d 467, 114 USPQ 330 (CCPA 1957); and *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970).

A statutory type (35 U.S.C. 101) double patenting rejection can be overcome by canceling or amending the conflicting claims so they are no longer coextensive in scope. The filing of a terminal disclaimer <u>cannot</u> overcome a double patenting rejection based upon 35 U.S.C. 101.

8. <u>Claims 22 and 23</u> are rejected under 35 U.S.C. 101 as claiming the same invention as that of claims 12 and 14 of prior <u>U.S. Patent No. 6,629,060</u> respectively. This is a double patenting rejection.

Art Unit: 2857

### Allowable Subject Matter

9. <u>Claims 17-20</u> are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### Conclusion

- 10. Citation of pertinent prior art:
  - ➤ <u>Reid et al.</u> (U.S. Patent 6,298,308) teaches diagnostic network with automated proactive local experts, which is a method that invokes conditions for monitoring a plurality of machines.
  - ➤ <u>Margrey et al</u>. (U.S. Patent 5,366,896) teaches robotically operated laboratory system that relates to an integrated analytical system.
  - ➤ <u>Slemeyer</u> (UASG, 'A Depletion Compensated Wet Bath Simulator for Calibrating Evidential Breath Alcohol Analyzers') teaches the design principle of new wet bath simulator system that offers a long-term stable output concentration at a defined temperature.
- 11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Elias Desta whose telephone number is (571)-272-2214. The examiner can normally be reached on M-Thu (8:30-7:00).

Art Unit: 2857

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Marc S. Hoff can be reached on (571)-272-2216. The fax phone numbers for the organization where this application or proceeding is assigned are (703)-308-5841 for regular communications and (703)-308-5841 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)-308-1782.

Elias Desta Examiner Art Unit 2857

-ed

July 13, 2004

MARC S. HOFF SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 2800